

<b>Notice of References Cited</b>	Application/Control No. 09/812,628	Applicant(s)/Patent Under Reexamination LAWRENCE, DAVID	
	Examiner Richard Fults	Art Unit 3628	Page 1 of 1

**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
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	B	US-			
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	D	US-			
	E	US-			
	F	US-			
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	K	US-			
	L	US-			
	M	US-			

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**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Kevin Dowd, Beyond Value At Risk: The New Science of Risk Management, 1998, John Wiley & Sons, Pages 3-5 & 38-40.
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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
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